JC02 Rec'd PCT/PTO 10 JUN 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER T	ATTORNEY'S DOCKET NUMBER									
DESIGNATED/ELECTE	2004P84076WOUS									
CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. TITTE TO THE TO THE TOTAL TO THE TOTAL TO THE TOTAL								
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED								
PCT/EP2003/013437	November 28, 2003	December 11, 2002								
TITLE OF INVENTION										
BRUSH SEAL FOR SEALING A GAP BETWEEN A ROTOR AND A STATOR APPLICANT(S) FOR DO/EO/US										
Helmut Rupp, Karl Urlichs										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.										
	T submission of items concerning a filing under									
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4. X The US has been elected (Article 31)	The US has been elected (Article 31).									
X A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
a. X is attached hereto (required only if not communicated by the International Bureau).										
b. has been communicated	b. has been communicated by the International Bureau.									
c. is not required, as the a	c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).										
a. X is attached hereto.										
b. has been previously sub	omitted under 35 U.S.C. 154 (d)(4).	,								
7. Amendments to the claims of the Inte	ernational Application under PCT Article 19 (35 l	J.S.C. 371(c)(3))								
, a. are attached hereto (rec	quired only if not communicated by the Internation	onal Bureau).								
b. have been communicate	ed by the International Bureau.	·								
c. have not been made; ho	owever, the time limit for making such amendme	ents has NOT expired.								
d. have not been made an	d. have not been made and will not be made.									
8. An English language translation of th	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).									
9. X An oath or declaration of the inventor	X An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).									
10. X An English anguage translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary Exam	nination Report under PCT								
Items 11 to 20 below concern document(s) or										
11. X An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.									
12. X An assignment document for recording	ng. A separate cover sheet in compliance with 3	37 CFR 3.28 and 3.31 is included.								
13. X A preliminary amendment.	• :									
14. An Application Data Sheet under 37	CFR 1.76.									
15. X A substitute specification.		•								
16. A power of attorney and/or change of	f address letter.									
17. A computer-readable form of the seq	uence listing in accordance with PCT Rule 13te	r.2 and 37 CFR 1.821 – 1.825.								
18. A second copy of the published Inter-	national Application 35 U.S.C. 154(d)(4).									
19. A second copy of the English language	ge translation of the international application und	der 35 U.S.C. 154(d)(4).								
COVER PAGE OF PCT APPLICATION	ON PCT/EP2003/013437; (d) INTERNATIONAL EPORT (f) AUTHORIZATION TO ACT IN A REF	ATTORNEY IS INCLUDED IN DECLARATION; (c) SEARCH REPORT; (e) INTERNATIONAL PRESENTATIVE CAPACITY, INCLUDING COPY OF								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, Including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

JC17 Rec'd PCT/PTO 10 JUN 2005

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)			INTERNATIONAL APPLICATION NO.		ATTORNEY DOCKET NUMBER				
		15	PCT/EP2003/013437			2004P84076WOUS			
21. The follo	owing fees are submitted	1:							
x a) Basi	ic national fee		•••••		\$ 300.00	\$ 300.00	 		
			••••••••••••			\$ 200.00			
						\$ 500.00			
X c) Search fee						\$ 1,000.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee Is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra sheets		nber of each additional 50 or ion thereof (round up to a who ber)	ole	RATE				
- 100 =	/50 =				X \$250.00				
from the earliest cla	aimed priority date (37 Cl	FR 1.4		18		\$			
CLAIMS	NUMBER FILE		NUMBER EXTRA		RATE	\$	_		
Total Claims	11 - 20 =	+		х	*	\$			
Independent claims				<u> </u>	,	\$			
MULTIPLE DEPEN	IDENT CLAIMS(S) (if ap	plicabi			\$ 360.00	\$	 		
Applicant cla		C02 3	TOTAL OF ABOVE (\$			
Applicant cla	ims small entity status.	See o	37 CFR 1.27. The fees indicat			•			
SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months						\$ 1,000.00 \$			
from the earliest claimed priority date (37 CFR 1.492(f)).					+				
TOTAL NATIONAL FEE =					\$				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					+				
TOTAL FEES ENCLOSED =					ENCLOSED =	\$ 1,000.00 /			
						Amount to be refunded:	\$		
						Amount to be charged:	\$		
a. A check i	in the amount of \$		to cover th	ne abo	ove fees is enclose	ed.			
b. X Please cl	charge my Deposit Accou		o. <u>19-2179</u>		he amount of \$ <u>1</u>		the above fees.		
A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2179 . A duplicate copy of this sheet is enclosed.									
d. Fees are	to be charged to a cred	iit card.	d. WARNING: Information on	n this	form may become	public. Credit card			
			on this form. Provide credit						
	appropriate time limit ι store the application to		37 CFR 1.495 has not been ling status.	met,	a petition to reviv	ve (37 CFR 1.137 (a) or (b)) must be filed		
SEND ALL CORRE						/M/ XUS			
Siemens Corporati Intellectual Proper						SIGNATUR	E		
170 Wood Avenue					. GE	EORG ZEIS	June 10, 2005		
Iselin, NJ 08830 732-321-3026						NAME	DATE		
/ 32-32 1-3020					407-73		RECOGNITION		
	•				PI		005 - 5/24/2006 RATION NUMBER		
							•		
			•						